

# Higher-Order Demand-Driven Symbolic Evaluation

PLUM Reading Group Shiwei Weng, Sep 2020

## Outline

- Motivation
- Demand-driven functional interpreter
- Demand-driven symbolic evaluator
- Implementation
- Current status

• Generate tests to execute to the specified program points

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- Execute from the interested point backwards to the start point

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  - Fewer spurious paths taken

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- Execute from the interested point backwards to the start point
- Benefit from the demand-driven technique
  - Goal-directed, backward-chain in logic programming, laziness, directed
  - Fewer spurious paths taken
- Continuing work of demand-driven program analysis

## Outline

- Motivation
- **Demand-driven** functional interpreter
- **Demand-driven** symbolic evaluator

- Start from the end
- No substitution, environments or closures
- Find the binding when needed

- Start from the end (any top-level program point)
- No substitution, environments or closures
- Find Lookup the value of a variable, along (the graph of) source code
- Lookup is the interpreter



- Lookup,  $\mathbb{L}([\mathbf{X}], @\mathbf{X}_{pp}, [...]) \equiv v$ 
  - **x** is the variable to lookup
  - $\circ$  x<sub>pp</sub> is the program point to start the lookup
  - [...] is the stack of call frames



- Lookup, L([X], @Xpp, [...]) ≡ v
  - **x** is the variable to lookup
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  - [...] is the stack of call frames

- $\mathbb{L}([\mathbf{y}], @\mathbf{y}, []) \equiv 0$
- L([y], @fy, []) ≡ 0
- L([fret], @fret, [fy]) ≡ 1
- L([<mark>f1</mark>], @f1, []) ≡ 2



L([fy], @fy, [])
 ≡ L([fret], @fret, [fy])

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  - [...] is the stack of call frames



L([fy], @fy, [])
 ≡ L([fret], @fret, [fy])
 ≡ L([x], @fret, [fy]) + 1

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   ≡ L([fret], @fret, [fy])
   ≡ L([x], @fret, [fy]) + 1
- L([x], @fret, [fy])
   ≡ L([x], @fun x->, [fy]) ≡ L([y], @fy, [])

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- L([x], @fret, [fy])
   ≡ L([x], @fun x->, [fy]) ≡ L([y], @fy, [])
   ≡ L([y], @f, []) ≡ L([y], @y, []) ≡ 0

- Lookup, L([X], @xpp, [...]) ≡ v
  - **x** is the variable to lookup
  - $\circ$  x<sub>pp</sub> is the program point to start the lookup
  - [...] is the stack of call frames



- L([fy], @fy, [])
   ≡ L([fret], @fret, [fy])
   ≡ L([x], @fret, [fy]) + 1
   ≡ 0 + 1
  - ≡ 1

- Lookup, L([X], @Xpp, [...]) ≡ v
  - **x** is the variable to lookup
  - $\circ$  x<sub>pp</sub> is the program point to start the lookup
  - [...] is the stack of call frames

#### Lookup a nonlocal variable



• L([x], @gyret, [ret])

- Lookup,  $\mathbb{L}([\mathbf{X}], @\mathbf{X}_{pp}, [...]) \equiv v$ 
  - **x** is the variable to lookup
  - $\circ$  x<sub>pp</sub> is the program point to start the lookup
  - [...] is the stack of call frames

• Step 1: find the definition site for g5 Step 2: resume search for x since that is lexical scope of its definition

#### Lookup a nonlocal variable



L([x], @gyret, [ret])
 ≡ L([g5, x], @ret, [])
 ≡ L([gret, x], @gret, [g5])

• Step 1: find the definition site for g5

Lookup,  $\mathbb{L}([xs], @x_{pp}, [...]) \equiv v$ 

- xs is the sequence of variable to lookup
- $\circ$  **x**<sub>pp</sub> is the program point to start the lookup
- [...] is the stack of call frames

#### Lookup a nonlocal variable



L([x], @gyret, [ret])
 ≡ L([x], @fun x, [g5])
 ≡ L([5], @g5, [])
 ≡ 5

- Lookup,  $\mathbb{L}([xs], @x_{pp}, [...]) \equiv v$ 
  - xs is the sequence of variable to lookup
  - $\circ \quad x_{\mbox{\tiny pp}} \mbox{ is the program point to start the lookup }$
  - [...] is the stack of call frames

• Step 2: resume search for **x** since that is lexical scope of its definition

- Lookup,  $\mathbb{L}([\mathbf{X}_{f1}, \mathbf{X}_{f2}, \dots, \mathbf{X}], @\mathbf{X}_{pp}, [\dots]) \equiv \vee$
- No substitution, environments or closures
- Start from
  - the end or any toplevel program point, when we know the [...] is empty
  - $\circ$   $\,$  Any program point, if we know the call stack

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- Start from
  - the end or any toplevel program point, when we know the [...] is empty
  - $\circ$   $\,$  Any program point, if we know the call stack
- Support input let x = input in ...
- Support records and recursive data structures
- Recursion encoded via self-passing (currently)
- Implemented in ANF with unique variable names

## Lookup rules

VALUE DISCOVERY	$\frac{\text{First}(x, \text{CL}(x), C)}{\mathbb{L}([x], (x = v), C) \equiv v}$	VALUE DISCARD $\frac{\mathbb{L}(X, \operatorname{PRED}(x), C) \equiv v}{\mathbb{L}([x] \mid \mid X, (x = f), C) \equiv v}$	
	ALIAS $\frac{\mathbb{L}([x'] \mid \mid X)}{\mathbb{L}([x] \mid \mid X)}$	$\frac{\operatorname{Pred}(x), C) \equiv v}{(x = x'), C) \equiv v}$	
$\frac{\text{FUNCTION}}{\text{ENTER}}  \frac{c = (x_r)}{c}$	$= x_f x_v$ ) $\mathbb{L}([x_v]    X, \text{Pred})$	$(c), C) \equiv v$ $\mathbb{L}([x_f], \operatorname{Pred}(c), C) \equiv [\operatorname{fun} x ->]    e$	
PARAMETER	$\mathbb{L}([x] \mid \mid X, (\texttt{fun } x \rightarrow), [c] \mid \mid C) \equiv v$		
Function Enter Non-Local	$x'' \neq \mathbb{L}([x_f, x]    X, \operatorname{Pred}(c), C) \equiv \mathbb{L}([x]    X,$	$\begin{array}{ll} x & c = (x_r = x_f \ x_v) \\ v & \mathbb{L}([x_f], \operatorname{PRED}(c), C) \equiv [\operatorname{fun} \ x^{\prime\prime} \rightarrow] \mid\mid e \\ \hline (\operatorname{fun} \ x^{\prime\prime} \rightarrow), [c] \mid\mid C) \equiv v \end{array}$	
$\mathbb{L}([x'] \mid \mid X, (x' = b), [CL(x)] \mid \mid C) \equiv v$ Function Exit $\frac{\operatorname{RetCL}(e) = (x' = b)}{\mathbb{L}([x_f], \operatorname{Pred}(c), C)} \equiv [\operatorname{fun} x'' \rightarrow] \mid \mid e$			
x‴ ≠	$\mathbb{L}([x]   x = \mathbb{L}([x]     X, \operatorname{Pred}(x''), C$	$  X, (x = x_f x_v), C) \equiv v $ $ T = v \qquad \exists v_0. \ \mathbb{L}([x''], \operatorname{CL}(x''), C) \equiv v_0 $	
Skip —	$\mathbb{L}([x] \mid \mid X,$	$(x^{\prime\prime}=b), C) \equiv v$	

#### From concrete to symbolic

•  $\mathbb{L}([X_{f1}, X_{f2}, ..., X], @X_{pp}, [...]) \equiv \mathbb{L}(...) \equiv \mathbb{L}(...) \equiv V$ 

•  $\mathbb{L}^{s}([X_{f1}, X_{f2}, ..., X], @X_{pp}, [...]) , \mathbb{L}(...), \mathbb{L}(...) \equiv {}^{s}V \text{ over } \Phi$ 

#### From concrete to symbolic

- $\mathbb{L}([X_{f1}, X_{f2}, ..., X], @X_{pp}, [...]) \equiv \mathbb{L}(...) \equiv \mathbb{L}(...) \equiv v$ 
  - Deterministic v (Lemma 3.4, at most one v s.t a proof can be constructed)
  - A reverse interpreter is sound and complete with respect to a forward one
    - Need to know the call stack
    - Need to sort the input order
- $\mathbb{L}^{s}([X_{f1}, X_{f2}, ..., X], @X_{pp}, [...]) , \mathbb{L}(...), \mathbb{L}(...) \equiv {}^{s}v \text{ over } \Phi$ 
  - Nondeterministic
    - Not know the call stack
    - Not know the input



- L([fy], @fy, [])
   ≡ L([fret], @fret, [fy])
   ≡ L([x], @fret, [fy]) + 1
- L([x], @fret, [fy])
   ≡ L([x], @fun x->, [fy])
   ≡ L([y], @fy, [])



- L<sup>s</sup>([fy], @fy, [])
   ≡ L<sup>s</sup>([fret], @fret, [fy]), Φ<sup>1</sup>
   ≡ L<sup>s</sup>([x], @fret, [fy]) + 1, Φ<sup>2</sup>
- L<sup>s</sup>([x], @fret, [fy])
   ≡ L<sup>s</sup>([x], @fun x->, [fy]), Φ<sup>3</sup>
   ≡ L<sup>s</sup>([y], @fy, []), Φ<sup>4</sup>



- $\mathbb{L}^{s}([fy], @fy, [])$   $\equiv \mathbb{L}^{s}([fret], @fret, [fy]), \Phi^{1} = \{ [fy] = [fy] fret \}$  $\equiv \mathbb{L}^{s}([x], @fret, [fy]) + 1, \Phi^{2} = \{ \dots, [fy] ret = [fy] x + 1 \}$
- L<sup>s</sup>([x], @fret, [fy])
   ≡ L<sup>s</sup>([x], @fun x->, [fy]), Φ<sup>3</sup> = { ... }
   ≡ L<sup>s</sup>([y], @fy, []), Φ<sup>4</sup> = { ... , <sup>[fy]</sup>x = <sup>[]</sup>y }



- $\mathbb{L}^{s}([fy], @fy, [])$  $\equiv \mathbb{L}^{s}([\text{fret}], @\text{fret}, [fy]), \Phi^{1} = \{ [fy] \in [fy] \text{fret} \}$  $\equiv \mathbb{L}^{s}([x], @fret, [fy]) + 1, \Phi^{2} = \{ \dots, [fy]ret = [fy]x + 1 \}$
- $\mathbb{L}^{s}([\mathbf{X}], @fret, [fy])$  $\equiv \mathbb{L}^{s}([\mathbf{x}], @fun x->, [fy]), \Phi^{3} = \{ ... \}$  $\equiv \mathbb{L}^{s}([\mathbf{y}], @\mathbf{fy}, []), \Phi^{4} = \{ \dots, [^{fy}]_{\mathbf{X}} = [^{l}\mathbf{y} \}$  satisfiable, not interesting



- <del>L<sup>s</sup>([<mark>fy</mark>], @fy, [])</del>  $L^{s}([fret], @fret, [fy]), \Phi^{1} = \{ [fy] = [fy] fret \}$  $\equiv \mathbb{L}^{s}([x], @fret, [fy]) + 1, \Phi^{2} = \{ \dots, [fy]ret = [fy]x + 1 \}$
- $\mathbb{L}^{s}([\mathbf{X}], @fret, [fy])$  $\equiv \mathbb{L}^{s}([\mathbf{x}], @fun x->, [fy]), \Phi^{3} = \{ ... \}$  $\equiv \mathbb{L}^{s}([\mathbf{y}], @\mathbf{fy}, []), \Phi^{4} = \{ \dots, [^{fy}]_{\mathbf{X}} = [^{l}\mathbf{y} \}$  satisfiable, not interesting



- L<sup>s</sup>([x], @fret, [])
   ≡ L<sup>s</sup>([x], @fun x->, []), Φ<sup>3</sup> = { ... }
   ≡ L<sup>s</sup>([y], @fy, [??]), Φ<sup>4</sup> = { ... , <sup>[]</sup>x = <sup>[??]</sup>y }



- L<sup>s</sup>([x], @fret, [])
   ≡ L<sup>s</sup>([x], @fun x->, []), Φ<sup>3</sup> = { ... }
   ≡ L<sup>s</sup>([y], @fy, [-fy]), Φ<sup>4</sup> = { ... , <sup>[]</sup>x = <sup>[-fy]</sup>y }



- L<sup>s</sup>([fret], @fret, [])
   ≡ L<sup>s</sup>([x], @fret, []) + 1, Φ<sup>2</sup> = { ..., <sup>[]</sup>ret = <sup>[]</sup>x + 1 }
- L<sup>s</sup>([**x**], @fret, [])
  - $\equiv \mathbb{L}^{s}([\mathbf{x}], @fun x->, []), \Phi^{3} = { ... }$

 $\equiv \mathbb{L}^{s}([y], @fy, [-fy]), \Phi^{4} = \{ \dots, {}^{f_{1}}x = {}^{[-fy]_{y}} \} \mathbb{L}^{s}([1], @fy, [-f1]), \Phi^{4} = \{ \dots, {}^{[1}x = {}^{[-f1]_{y}} \}$ 

Stack type	Concrete stack	Concrete stack	Relative stack
Interpreter	Concrete	Concrete	Symbolic
Direction	Forward	Backward	Backward
Arrow	main -> target	main <- target	main <- target
Value at main entry	[]	[]	
Value at target	Can be non-empty	Can be non-empty	

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Interpreter	Concrete	Concrete	Symbolic
Direction	Forward	Backward	Backward
Arrow	main -> target	main <- target	main <- target
Value at main entry	[] as empty	[] as empty	[-f1]?[ ]
Value at target	Can be non-empty	Can be non-empty	[] as unknown

Stack type	Concrete stack	Concrete stack	Relative stack
Interpreter	Concrete	Concrete	Symbolic
Direction	Forward	Backward	Backward
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Value at main entry	[] as empty	[] as empty	[-f1]?[ ]
Value at target	Can be non-empty	Can be non-empty	[]?[]

DEFINITION 4.1. Notation for pushing, popping, and concretizing relative stacks is as follows.

- (1) PUSH( $[c_1, \ldots, c_n]$ ? $[c'_1, \ldots, c'_{n'}], c$ ) =  $[c_1, \ldots, c_n]$ ? $[c, c'_1, \ldots, c'_{n'}], c$
- (2)  $Pop([c_1, \ldots, c_n]?[], c) = [c, c_1, \ldots, c_n]?[],$
- (3)  $Pop([c_1, \ldots, c_n]?[c'_1, \ldots, c'_{n'}], c) = [c_1, \ldots, c_n]?[c'_2, \ldots, c'_{n'}] for c = c'_1,$
- (4)  $[c_1, \ldots c_n]?[c'_1, \ldots c'_{n'}]$  is empty iff n' = 0 (the stack is empty, the co-stack may not be). (5) CONCRETIZE(C?[]) = REVERSE(C)
  - Push to the normal stack // rule (1)
  - Pop from the empty normal stack, put into the co-stack // rule (2)
  - Pop from the non-empty stack, it needs call-return alignment // rule (3)
  - Safeguard: the normal stack must be empty when reaching the start // rule (4)

#### From concrete to symbolic

- $\mathbb{L}([X_{f1}, X_{f2}, ..., X], @X_{pp}, [...]) \equiv V$ 
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  - A reverse interpreter is sound and complete with respect to a forward one
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    - Need to sort the input order
- $\mathbb{L}^{s}([X_{f1}, X_{f2}, ..., X], @X_{pp}, [...]) , \mathbb{L}(...), \mathbb{L}(...) \equiv {}^{s}v \text{ over } \Phi$ 
  - Nondeterministic
    - Not know the call stack
    - Not know the input

#### From concrete to symbolic, formally

- $\mathbb{L}^{s}(\mathbf{X}, \Phi, \Pi, \mathbf{C}, \dot{\mathbf{C}}) \equiv {}^{s}\mathbf{V}$ 
  - X := lookup stack
  - $\circ$   $\Phi$  := constraint formulae
  - $\circ$   $\Pi$  := search path
  - C ::= program point
  - $\circ$   $\dot{C}$  ::= relative stack

Ċx			annotated vars
X			annotated var sets
Ċ	::=	C?C	relative stacks
5	::=	Ċx   Strue	formulae symbols
$\phi$	::=	$\varsigma = \varsigma \odot \varsigma   \varsigma = \varsigma$	formulae atoms
		$ \varsigma = v $ stack	= <i>C</i>
Φ	::=	$\phi \land \ldots \land \phi$	formulae
Π	::=	$\{\dot{C}\mapsto c,\ldots\}$	search paths
Fig.	7. Ne	w Constructs for	Symbolic Lookup

### From concrete to symbolic, formally

- $\mathbb{L}^{s}(X, \Phi, \Pi, \mathbf{C}, \dot{\mathbf{C}}) \equiv {}^{s}V$ Cx annotated vars XĊ annotated var sets X := lookup stack C?C ::= relative stacks  $\Phi$  := constraint formulae  $::= \dot{C}_x |_{Strue}$ 5 formulae symbols  $\Pi$  := search path  $::= \zeta = \zeta \odot \zeta | \zeta = \zeta$  formulae atoms 0  $|\varsigma = v|$  stack = C C ::= program point Ο  $\Phi ::= \phi \land \ldots \land \phi$ formulae C ··= relative stack  $\Pi ::= \{ \dot{C} \mapsto c, \ldots \}$ search paths Checking along the lookup Fig. 7. New Constructs for Symbolic Lookup
  - $\circ \quad \Phi$  is satisfiable
  - $\circ$   $\Pi$  matches, a variable always points the same function in a nondeterministic trace
  - $\circ$   $\dot{C}$  has a empty normal stack part

#### Lookup rules, symbolically

$$\begin{split} \dot{C}' &= \operatorname{Pop}(\dot{C}, c) \qquad \mathbb{L}^{S}([x_{\upsilon}] \mid \mid X, \operatorname{Pred}(c), \dot{C}') \equiv \dot{C}_{0}x_{0} \\ \\ \overbrace{\text{ENTER}}^{\text{FUNCTION}} \\ \overbrace{\text{PARAMETER}}^{\text{C}} & \frac{c = (x_{r} = x_{f} x_{\upsilon}) \qquad \Pi(\dot{C}) = c \qquad \mathbb{L}^{S}([x_{f}], \operatorname{Pred}(c), \dot{C}') \equiv (\operatorname{fun} x \to (e)) \\ \\ \overbrace{\text{L}^{S}([x] \mid \mid X, (\operatorname{fun} x \to ), \dot{C}) \equiv \dot{C}_{0}x_{0}}^{\dot{C}' = \operatorname{Pop}(\dot{C}, c) \qquad x'' \neq x \qquad c = (x_{r} = x_{f} x_{\upsilon}) \qquad \Pi(\dot{C}) = c \\ \\ \overbrace{\text{FUNCTION}}^{\text{FUNCTION}} \\ \underset{\text{Local}}{\overset{\mathbb{L}^{S}([x_{f}, x] \mid \mid X, \operatorname{Pred}(c), \dot{C}') \equiv \dot{C}_{0}x_{0}} \qquad \overset{\mathbb{L}^{S}([x_{f}], \operatorname{Pred}(c), \dot{C}') \equiv (\operatorname{fun} x'' \to (e))}{\\ \underset{\text{Local}}{\overset{\mathbb{L}^{S}([x] \mid \mid X, (\operatorname{fun} x'' \to ), \dot{C}) \equiv \dot{C}_{0}x_{0}} \\ \\ \overbrace{\text{FUNCTION}}^{\text{ENCTION}} \\ \underset{\text{Exit}}{\overset{\mathbb{E}^{S}([x] \mid \mid X, (\operatorname{fun} x'' \to ), \dot{C}) \equiv \dot{C}_{0}x_{0}} \\ \\ \overbrace{\text{FUNCTION}}^{\text{EXIT}} \\ \frac{\underset{\mathbb{E}^{\text{ETCL}(e)} = (x' = b) \qquad \overset{\mathbb{L}^{S}([x_{f}], \operatorname{Pred}(x), \dot{C}) \equiv (\operatorname{fun} x'' \to (e)))}{\\ \underset{\mathbb{L}^{S}([x] \mid \mid X, (x = x_{f} x_{\upsilon}), \dot{C}) \equiv \dot{C}_{0}x_{0}} \\ \\ \end{array}$$

#### Lookup rules, symbolically

$$(\hat{c}x = v) \in \Phi$$
Value Discovery
$$\frac{x \neq \text{FirstV}(e_{glob}) \lor (\text{stack} = \text{Concretize}(\dot{C})) \in \Phi \quad \text{First}^{S}(x, c, \dot{C})}{\mathbb{L}^{S}([x], (x = v), \dot{C}) \equiv \hat{c}x}$$
INPUT
$$\frac{\zeta_{\text{true}} = (\hat{c}x = \hat{c}x) \in \Phi \quad x \neq \text{FirstV}(e_{glob}) \lor (\text{stack} = \text{Concretize}(\dot{C})) \in \Phi \quad \text{First}^{S}(x, c, \dot{C})}{\mathbb{L}^{S}([x], (x = \text{input}), \dot{C}) \equiv \hat{c}x}$$

$$\text{Conditional Top} \quad \frac{\sum_{i=1}^{s} ([x_{2}], \text{Pred}(x_{1}), \dot{C}) \equiv \beta}{\sum_{i=1}^{s} (X, (x_{1} = i\beta, c))} = \hat{c}_{0}x_{0}}{\mathbb{L}^{S}(X, (x_{1} ! \beta), \dot{C}) \equiv \hat{c}_{0}x_{0}}$$

$$\text{Conditional Bottom} \quad \frac{\sum_{i=1}^{s} ([x'_{1}] || X, (x'_{i} = b), \dot{C}) \equiv \hat{c}_{0}x_{0}}{\sum_{i=1}^{s} ([x_{1}] || X, (x_{1} = x_{2} ? e_{\text{true}} : e_{\text{false}}, \dot{C}) \equiv \hat{c}_{0}x_{0}}$$

Fig. 8. Symbolic Lookup Rules

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- Demand-driven symbolic evaluator
- Implementation

#### Implementation

- Artifact is a test generator: given program and target line, search for inputs which reach the target line of code
- Initial proof-of-concept implementation in OCaml
- Benchmark from Scheme Larceny and P4F
  - Modify by adding input
- Benchmark from Satisfiability Modulo Bounded Checking, CADE '17
  - Add function to behave like uninterpreted one

#### Implementation

- Artifact is a test generator: given program and target line, search for inputs which reach the target line of code
- Initial proof-of-concept implementation in OCaml
- Benchmark from Scheme Larceny and P4F **Thank you David!** 
  - Modify by adding input
- Benchmark from Satisfiability Modulo Bounded Checking, CADE '17
  - Add function to behave like uninterpreted one
- Benchmark from Directed symbolic execution Thank you Mike!
  - Fully rewrite
  - Used in submissions before ICFP

#### **Related Work**

• Snugglebug, PLDI '09

Imperative demand symbolic execution, no correctness

- Satisfiability Modulo Bounded Checking, CADE '17: Functional forward symbolic execution, no correctness proof, no input, no unbounded recursion
- Rosette, PLDI '14

a forward symbolic execution DSL; bounded datatypes only

• Our DDSE

This work: functional, demand, arbitrary datatypes and recursion, proven

#### **Current status**

- Adapting on JavaScript
- Optimization
  - Mutable states
  - Cached lookup, formally
  - Function summarization
  - Pick among the spectrum between pure computational and pure SMT solving

## **Questions & suggestions**